

## **Product Guide 2024**

Regulatory and financial crime compliance solutions



# Contents

ICA's products are categorised across 6 key areas.

This guide looks at each of those areas and the products within them.

---

## Contents 02

---

## Our expertise and credentials 03

---

### ICA Qualifications 04

ICA Qualifications	05
ICA Qualifications Framework	06
Qualification Levels	07
ICA Certificate	08
ICA Advanced Certificate	08
ICA Specialist Certificate	09
ICA Diploma	09
ICA Certified Practitioner Series	10
ICA Professional Postgraduate Diploma	10
ICA Specialist Certificate in AI for Compliance Professionals (NEW)	11
ICA Professional Certificate in Compliance Foundations (NEW)	12

---

### ICA Learning 13

Team Briefings	14
Board Briefings	15
Essential Workshops	16
Subject-led Workshops	17
Scenario Workshops	18
Gamified All-Employee Digital Learning	19
Financial Crime Fundamentals Digital Learning	20
Financial Crime and Regulatory Compliance Foundation Programme	21
Specialist-Focussed Immersive Digital Learning	22

---

## Risk 23

Economic Crime Risk Assessments	24
AML Accelerate	25
Financial Crime Enterprise Risk Assessment Tool	26
ICA Risk – ISO37301: ICA Internal Auditor	27
ICA Continuous Competence	28

---

## ICA Assessments 29

ICA Recognised Status	30
ICA Approved Status	31
ICA Certified Status	32
Apprenticeships: End Point Assessment	33

---

## ICA Membership and CPD 34

ICA Corporate Membership	35
--------------------------	----

# Our Expertise and Credentials

We provide global best practice training, insight and tools to financial crime and regulatory compliance professionals.

## ICA Qualifications

### Understanding, Skills and Behaviours

Best practice training leading to life-long qualifications in anti-money laundering (AML), financial crime prevention, know your customer/customer due diligence (KYC/CDD), managing sanctions risk, cyber risk, and regulatory Governance, Risk and Compliance.

## ICA Learning

### Targeted and Practical

Developed by expert practitioners, customised instructor-led briefings, scenario workshops, and online training modules, spanning all levels of seniority from 1LoD to the board.

## ICA Risk

### Tools to Manage

Cloud-based financial crime risk assessment tools (Sanctions, anti bribery and corruption (ABC), AML, Modern Slavery, Correspondent Banking, Human Trafficking, Wildlife Trafficking, Fraud), and Internal Audit training and consultancy.

**180,000+**  
professionals qualified



**30,000**  
members  
across **155**  
different countries

regional partners across the **globe**



## ICA Assessments

### Validation and Certification

Certification of pre-existing training programmes (Recognised, Approved, Certified) and apprenticeship end-point assessment (EPA).

## ICA Events

### Networking and CPD

A selection of online and face-to-face events across the globe on the most timely and compelling topics.

## ICA Corporate Membership and Learning Hub

### Knowledge, Connection and Recognition

300+ hours CPD content, weekly CPD webinars, competency self-assessment tool, CPD tracking and Certified Practitioner digital badge.



# ICA QUALIFICATIONS

Development and Organisational Maturity



# ICA Qualifications

## Development and Organisational Maturity

Our qualifications help compliance professionals develop relevant knowledge and best practice for operating within the fields of governance, risk and compliance (GRC), financial crime prevention (FCP) and anti money laundering (AML).

- No recertification is required.
- Qualifications are awarded in association with Alliance Manchester Business School (unless stated otherwise).
- Course designations are awarded for use on successful completion of relevant qualifications.
- Digital membership badges are provided to share your access and commitment to continuous learning and best practice.
- All qualifications are enrolled alongside ICA Membership.








# ICA Qualifications Framework

Equipping regulatory and financial crime professionals for the future

	Practice	Understand	Apply	Enhance	Manage	Lead
	ICA Practitioner Series	ICA Certificates	ICA Advanced Certificates	ICA Specialist Certificates	ICA Diplomas	ICA Professional Postgraduate Diplomas
Anti Money Laundering	ICA Certified Money Laundering Reporting Officer	ICA Certificate in Anti Money Laundering	ICA Advanced Certificate in Anti Money Laundering	ICA Specialist Certificate in Anti Money Laundering and Art ICA Specialist Certificate in Combating the Financing of Terrorism ICA Specialist Certificate in Money Laundering Risk in Correspondent Banking ICA Specialist Certificate in Money Laundering Risk in Betting & Gaming ICA Specialist Certificate in Trade Based Money Laundering	ICA Diploma in Anti Money Laundering	ICA Professional Postgraduate Diploma in Financial Crime Compliance  (With exclusive access to MSc Financial Crime and Compliance in Digital Societies)
Financial Crime Prevention	ICA Certified Financial Crime Investigator	ICA Certificate in Financial Crime Prevention	ICA Advanced Certificate in Managing Fraud	ICA Specialist Certificate in Anti Corruption ICA Specialist Certificate in Financial Crime Risk in Cryptocurrencies ICA Specialist Certificate in Financial Crime Risk in Global Banking and Markets ICA Specialist Certificate in Financial Crime Risk & New Technology	ICA Diploma in Financial Crime Prevention	
Know Your Customer / Customer Due Diligence		ICA Certificate in KYC and CDD	ICA Advanced Certificate in Practical CDD			
Managing Sanctions Risk		ICA Certificate in Managing Sanctions Risk	ICA Advanced Certificate in Managing Sanctions Risk		ICA Diploma in Managing Sanctions Risk	
Cyber Risk			ICTTF Certified Cyber Risk Officer ICTTF NIST Cyber Security Expert	ICTTF Certified Cyber Risk Specialist		ICA Professional Postgraduate Diploma in Governance, Risk and Compliance
Governance, Risk and Compliance		ICA Certificate in Compliance	ICA Advanced Certificate in Business Compliance ICA Advanced Certificate in Governance, Risk and Compliance	ICA Specialist Certificate in Corporate Governance ICA Specialist Certificate in Conduct Risk ICA Specialist Certificate in AI for Compliance Professionals	ICA Diploma in Governance, Risk and Compliance	(With exclusive access to MSc Financial Crime and Compliance in Digital Societies)
	ICA Practitioner Series	ICA Qualifications				

# Qualification Levels

Supporting professions at every career stage

Understand New Entrant/Operations	Apply Analyst/Officer	Enhance Analyst/Manager	Manage Manager/Senior Manager	Lead Senior Manager/Director
<b>Certificate</b>	<b>ICA Advanced Certificate</b>	<b>Specialist Certificate</b>	<b>Diploma</b>	<b>Professional Postgraduate Diploma</b>
<b>Length:</b> 4 weeks <b>Assessment:</b> MCQ <b>Format:</b> Self-led	<b>Length:</b> 6 months <b>Assessment:</b> x2 <b>Format:</b> Online, workshops, tutorials and assignment preparation.	<b>Length:</b> 4 weeks <b>Assessment:</b> MCQ <b>Format:</b> Self-led	<b>Length:</b> 9 months <b>Assessment:</b> x3 <b>Format:</b> Online, workshops, tutorials and assignment preparation.	<b>Length:</b> 12 months <b>Assessment:</b> Reflective journal and competency based interview <b>Format:</b> Online, & masterclass
<b>After the course, I can:</b> <ul style="list-style-type: none"> <li>actively engage with my processes and procedures</li> <li>ask relevant questions</li> <li>understand what I'm asked to do and why I need to do it.</li> </ul>	<b>After the course, I can:</b> <ul style="list-style-type: none"> <li>identify gaps</li> <li>ask exploratory questions</li> <li>understand what I need to do, why and how I'm going to do it.</li> </ul>	<b>After the course, I can:</b> <ul style="list-style-type: none"> <li>broaden the projects I am involved in</li> <li>ask more specific questions on the topic</li> <li>become a catalyst and point of reference on the topic.</li> </ul>	<b>After the course, I can:</b> <ul style="list-style-type: none"> <li>identify, analyse and resolve gaps</li> <li>ask challenging questions</li> <li>make reasoned decisions</li> <li>support others to do the same.</li> </ul>	<b>After the course, I can:</b> <ul style="list-style-type: none"> <li>evaluate approaches to resolving gaps</li> <li>formulate frameworks to questions</li> <li>justify and critique decisions</li> <li>lead others to do the same.</li> </ul>
				
<b>Become:</b> Associate Member (AICA)	<b>Become:</b> Associate Member (AICA)	<b>Become:</b> Associate Member (AICA)	<b>Become:</b> Professional Member (MICA)	<b>Become:</b> Fellow Member (FICA)
<b>Your Designation:</b> Your Name Cert (AML)	<b>Your Designation:</b> Your Name Adv. Cert (AML)	<b>Your Designation:</b> Your Name Spec.Cert (Conduct)	<b>Your Designation:</b> Your Name Dip (AML)	<b>Your Designation:</b> Your Name Prof.PgDip (AML)

Awarded in association with



The University of Manchester  
Alliance Manchester Business School

# ICA Certificate

Certify knowledge to demonstrate understanding on a topic

Practical, flexible, introductory courses, suitable for learners needing to gain a solid understanding of the core subject areas.

They help learners understand the subject matter in more detail, allowing individuals to:

- better engage with processes and procedures
- gain the confidence to ask relevant questions
- learn why and how to apply this knowledge to day-to-day roles.

## What is the study format?

Enrolment is open any time and study can commence at a learner's own time and at their own pace. On average it will take approximately four weeks to complete. Learners have up to two months to complete the course.

Assessment is by an online multiple choice exam.

Certificate	Subject Family	Additional Languages
Anti Money Laundering	AML	Arabic* Russian*
Financial Crime Prevention	FCP	
Compliance	GRC	Arabic* Russian*
KYC & CDD	KYC / CDD	Russian
Managing Sanctions Risk	MSR	Russian
Fund Administration	GRC	

\*Self-led

# ICA Advanced Certificate

Applying knowledge to excel in a role

Intermediate-level courses designed for analysts and officers to provide them with actionable, practical knowledge allowing them to better analyse situations and consider appropriate controls or responses.

Learners will be able to:

- identify and analyse potential risks
- ask exploratory questions
- understand what they need to do, why and how they're going to do it.

## What is the study format?

A flexible, six-month course requiring, on average, two to four hours to complete. Designed for busy working professionals, these courses are a mix of guided, online study and attendance at live, virtual classrooms delivered by our expert faculty. The qualification is assessed through an online scenario-based assessment and a research-based assignment.

Advanced Certificate	Subject Family	Additional Languages
Anti Money Laundering	AML	Arabic
Managing Fraud	FCP	
Governance, Risk and Compliance	GRC	Arabic
Business Compliance	GRC	
Practical Customer Due Diligence	KYC / CDD	
Managing Sanctions Risk	MSR	
NIST Certified Cyber Risk Officer <sup>‡</sup>	CYBER	
NIST Cyber Security Expert <sup>‡</sup>	CYBER	
Fund Administration	GRC	

<sup>‡</sup>Provided by International Cyber Threat Task Force (ICTTF)



# ICA Specialist Certificate

Enhance your knowledge in specialised areas

Practical, accessible online courses that provide a deeper dive into key areas of risk in your business or particular industry sectors. Learners will gain actionable knowledge quickly to:

- broaden involvement in projects
- gain confidence when responding to situations
- support the business in managing specialist areas of risk
- become a catalyst and point of reference on the topic.

## What is the study format?

Learners study online, in their own time and at their own pace, with completion taking an average of four weeks (based on two to four hours a week study time). Learners have up to two months to complete the course. Assessment is via multiple choice questions.

Specialist Certificate	Subject Family
Money Laundering Risk in Betting and Gaming	AML
Trade Based Money Laundering	AML
Money Laundering Risk in Correspondent Banking	AML
Anti Money Laundering and Art	AML
Financial Crime Risk and New Technology	FCP
Combating the Financing of Terrorism	FCP
Financial Crime Risk in Global Banking and Markets	FCP
Anti Corruption	FCP
Conduct Risk	GRC
Corporate Governance	GRC
AI for Compliance Professionals 	GRC
Financial Crime Risk in Cryptocurrencies	CYBER
Certified Cyber Risk Specialist*	CYBER

\*Provided by International Cyber Threat Task Force (ICTTF)

# ICA Diploma

Industry standard for managing financial crime and regulatory compliance risk

Advanced-level courses designed for managers and senior management to help develop and implement best practice initiatives. ICA Diplomas are our flagship qualifications and the industry standard for those with responsibility for compliance or financial crime risk in an organisation.

Learners will be able to:

- identify, analyse and resolve potential risks
- ask challenging questions
- make reasoned decisions, while supporting others to do the same.

## What is the study format?

A nine-month course which can be completed online or face-to-face blended learning, or through our accelerated route. Assessment is carried out through timed, multiple choice questions, a written assignment with an industry sector focus, and a case study based written assignment.

Diploma	Subject Family
Anti Money Laundering	AML
Financial Crime Prevention	FCP
Governance, Risk and Compliance	GRC
Managing Sanctions Risk	MSR
Corporate Governance <sup>‡</sup>	GRC
Environmental, Social and Governance (ESG)*	GRC

<sup>‡</sup>Provided by Corporate Governance Institute

# ICA Practitioner Series

Practical skills for specialist roles

Written by experts in their field, these courses focus on specific job roles and functions to help learners apply their knowledge and equip them with the skills to be more effective in their role.

To be eligible to study for a practitioner qualification, learners must have existing, relevant knowledge of the subject, and have experience in the role or related roles.

## What is the study format?

The format is tailored specific to each qualification consisting of regular virtual classrooms, online learning and a live immersive scenario with facilitated discussion. Assessment is carried out through a written assignment, submitted in a two-week window.

ICA Practitioner Series	Subject Family
ICA Certified Money Laundering Reporting Officer	AML
ICA Certified Financial Crime Investigator	FCP

# ICA Professional Postgraduate Diploma

Expert-level programmes

Designed for senior industry practitioners, building on existing experience to guide learners to operate at the highest level in an organisation.

Learners will be able to:

- evaluate approaches to manage risk
- formulate frameworks
- justify and critique decisions while leading others to do the same.

## What is the study format?

A nine to twelve-month course with a series of specialist masterclasses led by thought leaders and facilitated discussions. Assessed by a reflective journal that consists of eight masterclass commentaries and a two to three-hour competency-based interview.

Professional Postgraduate Diploma	Subject Family
Financial Crime Compliance	FCP
Governance, Risk and Compliance	GRC
Governance, Risk and Financial Crime Compliance*	FCP/GRC

\*Designed specifically for APAC audiences

# ICA Specialist Certificate in AI for Compliance Professionals

Navigate the AI, ethics and compliance landscape with confidence

This Specialist Certificate is designed to help compliance professionals navigate the complexities of artificial intelligence (AI) technologies in global business operations and explore the opportunities, risks and ethical issues that they pose.

The curriculum blends philosophical insights with practical regulatory guidance and practical application.

## Learners will:

- explore AI ethics, examining how AI challenges traditional ethical frameworks and compliance mechanisms
- build awareness of AI technology, its deployment, and ethics in the context of AI development
- reflect on the strategic role of compliance professionals in driving ethical innovation, fostering ethical awareness within organisations and building public trust in AI technologies
- consider how to mitigate risks and seize the opportunity to lead in the ethical deployment of AI.

## What is the study format?

Learners study online, in their own time and at their own pace. On average it will take four weeks to complete (based on two to four hours study time a week) although learners have up to two months to complete the course.

Assessment is via a one hour, online multiple-choice exam and results are made available immediately.

**NEW**  
for 2024



# ICA Professional Certificate in Compliance Foundations

Navigate regulation and risk for compliance excellence

The ICA Professional Certificate in Compliance Foundations is the first offering in ICA's new series of Professional Certificates.

It comprises the following three short courses:

## **An Introduction to Regulation**

Explore the fundamental objectives of regulation, unravelling the different regulatory frameworks, and analysing the key events that have shaped our regulatory environment today.

## **Regulation and the Role of Compliance**

Discover the global influences on regulation and the crucial need to manage compliance, examining the role, relationships and skills needed of a compliance professional.

## **Compliance in Practice**

Gain insights into the practical application of compliance by considering the need for a risk-based approach, managing stakeholder behaviour, prudential compliance and the importance of maintaining financial crime compliance.

Designed for those new or early in their compliance careers, it provides learners with the opportunity to improve their understanding of the regulatory environment and how compliance is maintained within it.

## **What is the study format?**

Learners have the flexibility to study any or all of the courses. To be awarded the Professional Certificate learners must complete all three courses and pass the online, multiple-choice assessment for each.

Learners study online, in their own time and at their own pace. Each course will take four weeks to complete (based on one to two hours study time a week).

**NEW**  
for 2024

Fullscreen

Select each of the tiles to discover some examples





The background is a solid blue color with a complex pattern of overlapping circles and thin, intersecting lines in various shades of blue, creating a network-like or molecular structure.

# ICA LEARNING

Targetted and Practical

# Team Briefings

A time-saving Instructor led topical digest by industry experts

An effective way to deliver focused information on specific topics to large groups of learners to inform, spark conversation, consider how the topic impacts their role and allow opportunity to ask questions.

These 45–60 minute briefings (for up to 100 participants) are led by industry experts on a range of regulatory and financial crime topics, including but not limited to:

Example Team Briefings	Subject Family
AML corporate governance	AML
Terrorism and Counter Terrorism	AML
An effective tool for measuring ESG compliance trend analysis and benchmarking	GRC
Bitcoin and AML	AML
Cyber risk leadership	Cyber
Ethical ways at work	GRC
Financial crime and innovations	FCP
Environmental crime	GRC
Betting and gaming risk for key stakeholders	FCP
AML/CFT raising the bar	GRC
Wildlife trafficking	FCP

Instructor Led



# Board Briefings

Drive action and change

A 45–90 minute theme-based briefing (for up to 15 senior executive participants) facilitated by an expert practitioner leading a discussion about the current challenges faced amongst peers. They are designed to drive action and change within the firm, effectively pushing topics 'up the agenda'.

They can be tailored for your business, including sector, culture and values, across our core disciplines, including:

- anti money laundering
- governance, risk and compliance
- financial crime prevention
- managing sanctions risk
- cyber risk.

## Instructor Led



### Context

What are the challenges and opportunities?



### Latest Risks and Issues

Where are the risks likely to manifest? What are the latest global and regional trends – where are the risks and issues?



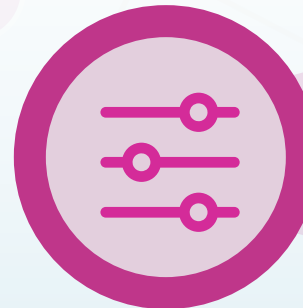
### Hot Topics

A theme-based discussion focussed on current topics designed to increase awareness across an organisation.



### Managing the Risk

What does an effective control framework look like? What are examples of best practice? Information sharing across public and private sectors/law enforcement and industry.



### Regulators' Expectations

The latest views from regulators and law enforcement agencies global, regional and local expectations.



### Leadership Team Role

What is the role of the executive team in managing risk? Culture, training and awareness and ownership of risk.

# Essential Workshops

Boost capabilities and make an impact

A three-hour, virtual, interactive workshop, led by an industry expert, designed to improve awareness, encourage escalation of key concepts. Designed to deliver and test learners' knowledge so they can better understand and appreciate their role within risk control frameworks. Tailored and organisation-specific examples can be provided to illustrate key points in the following core subject areas to help you and your teams.

## The Learner Experience

Knowledge, theme (role) based delivery with examples to illustrate key points. Designed to improve awareness and encourage escalation.

## Workshop Format

The workshops typically take an online, three-hour virtual instructor-led format. They are intended to provide a practical, flexible workshop experience for your team. This style of delivery appeals to learners from different backgrounds and is appropriate for a variety of learning styles. Delivery is tailored for sector, client base, policies and procedures, values and/or culture.

## Instructor Led

Essential Workshop	Outline
Anti Money Laundering	Develop the required skills and practical ability to manage the threat, which is essential in protecting your firm from ongoing risk.
Anti Corruption	Understand the nature of this threat together with practical insights and the holistic approach to corruption risk mitigation.
Financial Crime Prevention	Gain a strong understanding of the financial crime, fraud and corruption risks as well as the practical ability to mitigate them.
KYC and CDD	Learn an up-to-date understanding and practical ability to deliver meaningful, robust due diligence.
Managing Sanctions Risk	Develop an up-to-date, foundational understanding of the threat, together with current best practices, which can help you ensure your firm complies with international requirements.
Betting and Gaming	Gambling regulators are raising very significant fines, even reviewing licences as a result of anti money laundering failures. Ensure you and your teams have a clear and up-to-date understanding of the practical steps a gambling operator must take to manage these risks.
Transaction Monitoring	Transaction monitoring is a key area of importance in managing financial crime risk. Learners will get a fundamental understanding of the topic, and its vital role in the control framework. This workshop is suitable for individuals who would like a fundamental understanding of the topic.





# Subject-Led Workshops

Tailored, practical and results orientated workshops designed by experts

These virtual, interactive workshops range from three hours to three days. Led by industry experts, they take a deeper dive into a topic, to enhance learners' knowledge of international best practices and promote conversation among peers. They will support learners when responding to challenges their organisations face, ensuring that the right business is done in the right way whilst helping to maintain commercial competitiveness.

**Instructor Led**

Subject-led Workshop	Outline
<b>C-Suite</b>	Boardroom Governance Board Corporate Governance and Risk Management for Banks and Financial Institutions Inclusive Leadership Auditing Board Effectiveness Bank Systems, Data and IT for the Board and Senior Management
<b>Capital Markets</b>	Financial Crime in Capital Markets and Advisory: Case Studies Credit Derivatives Documentation for Risk Officers Risk Based Supervision in Capital Markets for Regulators Energy Risk Risk Management of Capital Markets
<b>Compliance and Regulation</b>	Senior Management Regime Regulatory Updates Market Abuse and Market Conduct AML and Sanction Regime Update Innovative and Practical Approaches to Governance, Risk and Compliance ABC, AML and CTF Practical Deterrence
<b>Compliance Professional Skills</b>	Creating an Ethical Culture Understanding Roles, Responsibilities and Accountabilities Developing and Applying Codes of Conduct
<b>Corporate Governance in Islamic Banking</b>	Financial Crime Deterrence for Islamic Banking and Finance Islamic Banking and Finance for the Board and Non-Executive Directors
<b>Digital Banking</b>	Risk and Controls: How to Design, Plan and Implement Risk Assessment Reviews Managing Financial Crime Risks Digital Banking, Payments & Financial Crime Information Risk Management Implementing Solutions to Mitigate CyberSecurity Risk Risks in Payment Systems - The Impact of New Technologies Cybersecurity for Financial Institutions: Financial Crime Risk
<b>Financial Crime</b>	Practical Workshop on Financial Crime Deterrence - Making Judgements Fraud Risk Management & Data - Implementing Practical Solutions and Approaches International Sanctions and Counter Terrorist Proliferation Understanding Money Laundering Deterrence
<b>Insurance &amp; Reinsurance</b>	Enterprise Risk Management for Insurers/Reinsurers Risk-Based Supervision for Insurance Regulators
<b>Risk Management</b>	Implementing and Managing Ethics and Culture Risk Enterprise Risk Management for Corporates Fraud Risk Management Environmental, Social and Governance (ESG) and Risk Management Third-Party Risk Management in Banking Understanding Cyber Exposure



# Scenario Workshops

Practical workshops to enhance skills and change behaviour

These three to four-hour workshops are highly interactive, practical and role-based, delivered in ICA's state of the art studio.

Facilitated by an experienced industry practitioner, who guides learners through a simulated 'real-life' developing scenario (including radio news broadcasts, telephone calls and documentation), allowing learners to practice, enhance critical thinking, and test and improve their decision-making skills and judgement, within a safe environment.

It will aid them to 'bridge the gap' between what they know, and how to apply that knowledge to their role in the real world, in the following core subject areas.

Scenario Workshop	Outline	Duration
Fraud	Effective strategy and response	3 Hours
Sanctions	Responding to new targeted sanctions	3 Hours
Business Compliance	Responding to reputational concerns	3 Hours
Regulatory Compliance	Managing ethical issues	3 Hours
Anti Money Laundering	Managing systemic issues	4 Hours
Governance, Risk and Compliance	Addressing ESG issues and greenwashing	4 Hours
Financial Crime Prevention	Managing a data breach	4 Hours
Customer Due Diligence	Onboarding a complex corporate customer	4x4 Hours



# Gamified All Employee Digital Learning

Easy to deploy active question-based learning

Digital Learning

## What is it?

**Up to 95% completion rate by deadline day** – adoption is viral, which combined with automated nudging of learners delivers unrivalled completion rates with no manual chasing

**Customised questions and content** – tailored specifically to your business

**Multi-platform** – fully accessible on desktops, Android and iOS devices

**Active Learning** – our 'testing first' approach ensures individuals focus on their knowledge gaps, creating individual learning journeys, while demonstrating enterprise-wide outcomes

**Metrics drive maturity** – the focus on questioning allows us to provide detailed metrics on the gaps so you can target learning spend on those in greatest need and improve maturity of the organisation

**Commercially compelling** – our pricing structure means it will not cost you any extra to move to our solution

## What are the benefits?

We provide an expertly designed platform that is easily adoptable and simple to use. Digitally transform your risk management practices using standardise risk-based methodologies across your business. Spend less time, money, and resources on designing risk frameworks and by doing so demonstrate your sound and robust risk management practices to regulators.



## Available subjects include:

Approved Persons, Health and Safety, Client Assets, Info Security, Anti Bribery and Corruption, Fraud, Anti Money Laundering, Financial Promotions, Code of Conduct, Treating Customers Fairly, Conflicts of Interest, Senior Managers and Certification Regime, Criminal Financial Act, Cyber Security, Social Media, Unconscious Bias, Data Protection/GDPR, Equality and Diversity, FCA Compliance, Whistle-blowing, and Working from Home

# Financial Crime Fundamentals

## Digital Learning

eLearning to establish knowledge across all employees

These self-led, 30-minute eLearning courses provide all employees (from junior to board level) with essential knowledge and contextual information on the essential topics of financial crime.

Using simple and clear language and online interactions, these help learners appreciate how the controls work in their organisation and why they are so important. It also highlights examples of what they should be alert for and how their role plays a key part in responding to any risk incidents.

### What is the format?

Developed for online delivery via an LMS, these responsive courses are ideal for PC, Mac or mobile delivery.

Simple and effective customisation options are available.

Available core topics include:

Anti Money Laundering, Fraud, Anti Bribery and Corruption, and Sanctions

Digital Learning





# Financial Crime and Regulatory Compliance Foundation Programme

Targeted modular learning for compliance and financial crime professionals

Digital Learning

This capacity-building programme ensures broad understanding of a wide range of regulatory and financial crime compliance disciplines. The 30 – 45-minute units encompass why each subject is important, detailing best practices to manage the associated risks whilst maximising the business benefits of effective compliance programmes. The course is suitable for first and second line teams and can be delivered in any combination of units to best fit teams' particular requirements.

Developed for online delivery via an LMS, these responsive courses are ideal for PC, Mac or mobile delivery.

## Available modules include:

An introduction to regulation, The evolving role of compliance, Compliance risk management, Money laundering and terrorist financing, Managing conduct risk, Market abuse, Customer due diligence, Managing bribery and corruption risk, Know your regulators, Managing fraud risk, Managing sanctions risk, Conflicts of interest management, Protecting the customer, and The impact of digital and technology



# Specialist-Focused Immersive Digital Learning

Self-led, practical exploration of a specialised area

These eLearning courses provide regulatory and financial crime professionals with a self-led exploration of a specialised subject area and practical insights to apply to their current or aspired role. Available on-demand and created by industry experts, they are a cost-effective way to try a taster session or take a deeper dive into a new topic.

Access to the platform is provided for one month and each course averages approximately three hours of learning material, with a multiple choice assessment. A certificate of completion will be awarded.

## Available digital learning includes:

Demystifying Crypto, Demystifying ESG, Intro Global FX Code, Introduction to Investigations Using Digital Forensics and eDisclosure/ eDiscovery, Law Best Practice, Protecting Customers' Monies and Assets, Ransomware Uncovered, Sanctions Awareness, SARs Practice like a Professional (first-person, immersive scenario based), SARs Best Practice

Digital Learning





# ICA RISK

Tools to Manage

# Economic Crime Risk Assessments

Transforming Economic Crime Risk Assessment

Cloud Based

ICA's approach provides data-driven workflow and risk profile reporting to replace current spreadsheet-based processes that result in inconsistent, infrequent, subjective and untimely outcomes.

## The Challenges

- Risk ownership
- Lack of consistency
- Manual spreadsheets
- 6-12 months to complete
- Timeliness of board insight

## The Solution

- Expert methodology, risk models and algorithm
- Risk profiles generated from data points
- Guidance on risks and how to manage them
- Workflow driving efficiencies and resource savings
- Ongoing updates to templates and risk model inputs
- Global community sharing best practice
- Faster, timely, frequent management reporting
- Assess supply chains and other counterparties for a single view of risk



Powered by

**ARCTIC**  
INTELLIGENCE





# AML Accelerate

Anti Money Laundering Risk Assessment Tool

Cloud Based

In partnership with Arctic Intelligence, a multi-award winning global RegTech firm that has developed enterprise risk assessment software, enabling regulated businesses to better assess, document and manage their financial crime risks.

The AML Accelerate solution leverages industry expertise to guide your organisation to compliance and help you meet mandatory AML regulations to manage your vulnerabilities.

## Key Features

- Robust **risk assessment framework** in-line with guidelines from regulators and the Financial Action Task Force (FATF)
- Collated assessments, programs and associated documentation in a central repository with **full history and audit trail**
- Action and issue tracking
- Defendable, **data-driven results**, prepared for your board and regulator
- Ongoing negotiations of **regulatory changes**
- Regular country **risk rating** updates
- AML policy and **operational guide** development
- Executive level **reporting and analytics**

## Risk Categories

- Environment
- Business
- Product
- Consumer
- Channel
- Geographic





# Financial Crime Enterprise Risk Assessment Tool

## Financial Crime Enterprise Risk Assessment Tool

We have partnered with Arctic Intelligence, a multi-award winning global RegTech firm that has developed enterprise risk assessment software enabling regulated businesses to better assess, document and manage their financial crime risks. This Risk Assessment Platform makes it easier for companies to reduce their financial crime risks and adhere to their international compliance obligations in an easy-to-use solution. Includes as standard; Single **entry point** for across multiple regions, leverage the **convenience of a cloud based Reg Tech solution** without the concern of off-shore data, **customisable** Risk methodologies and models and a fully **transparent audit trail**.

### Key Features

- Customisable risk methodologies and models
- View risks/controls at entity and enterprise level
- Executive level reporting and analytics
- Fully auditable structured workflow process
- Single entry point for global clients across multiple regions
- The convenience of a cloud based RegTech solution without the concern of offshore data

### Key Benefits

- Easy-to-use platform designed and developed by industry experts
- Digitally transform your risk management practices
- Standardise risk-based methodologies across enterprise
- Save time, money and resources designing risk frameworks
- Demonstrate sound risk management practices to regulator

### Risk Categories

- Money Laundering
- Sanctions
- Fraud
- Bribery and Corruption
- Correspondent Banking
- Human Trafficking
- Wildlife Trafficking
- Modern Slavery



# ISO 37301 - ICA Internal Auditor

## Training for Compliance Management Systems

This develops the skills needed to assess and report on compliance management systems. It provides delegates with the required knowledge and skills to plan, execute, report and follow up internal audits for management system standard ISO 37301.

Created by UKAS accredited ISO certification experts, this wholly unique, internationally focused, two-day online internal auditor training course is a fantastic way to develop your in-house expertise and allow your team to attain the competence they need to maintain and continually improve your management system.

- A cost-effective route, negating the need to hire external consultants
- Avoid closing a business for what might be a lengthy audit
- Prove your 'culture of compliance', and in turn, strengthen your second and third lines of defence.

The course is run over two days through virtual workshops and assessed with a written examination.

### Day One

Introduction to Audit Process and ISO 19011:2018 (Audit Management System). Including:

ISO Process / PDCA  
Audit Concepts & Principles  
Risk Based Auditing  
Audit Process and Stages  
Defining the Audit (Scope/Objectives/Criteria)  
Auditor Profile

### Day Two

Introduction to ISO 37301:2021 (Compliance Management System). Practical activities to:

Plan Your Audit  
Execute the Audit  
Collect Evidence and Report Findings  
Identify and review Corrective Actions and Root Cause Analysis



# ICA Continuous Competence

Elevate your teams' performance every single day

ICA Continuous Competence is a game-changing, intelligent solution that enhances employee competence through ongoing adaptive learning, personalised development paths and real-time competency assessments.

We take your training content and create a bank of real-world and scenario-based questions that are drip-fed over time to your employees through award-winning AI that will enhance competence and behavioural change.

We create enjoyable learning that is accessible on multiple devices for learning anytime, anywhere.

## Adaptive learning

- Tailored content based on individual learning styles
- Dynamic questions that adapt to an individuals' pace and proficiency

## Personalised learning experiences

- A customised learning journey for each user identifying strengths and weaknesses
- Goal-oriented questions that align with career objectives

## Real-time, ongoing competence assessments

- Daily evaluation of understanding and skills
- Instant feedback to track progress and identify areas for improvement





# ICA ASSESSMENTS

Validation and Certification



# ICA Recognised Status

Signpost your existing learning resources; making it easier to become ICA Certified Practitioner

## What Is ICA Recognised Status?

ICA Enterprise Membership Clients and selected partners can apply for their learning resources to be recognised as appropriate Continuing Professional Development (CPD) that supports the development of risk, compliance and financial crime professionals.

Recognised materials will be able to display the ICA Recognised status logo and the following text:

This learning material is relevant to the role of a Risk, Compliance or Financial Crime professional and is recognised as relevant Continual Professional Development ('CPD') under the International Compliance Association CPD policy, more information is available [here](#).

## What Are The Benefits?

- Draw attention to the relevant resources that exist within the organisation's learning ecosystem that best suit your risk and compliance teams
- Highlight your organisation's commitment to providing resources to assist your risk and compliance teams, demonstrating their commitment to professional development and staying 'current'
- Support ICA members in completing their recommended 35 hours of CPD, as identified by the ICA CPD Policy and gain ICA Certified Practitioner Status

## How Does It Work?

- Applicant organisations complete a short questionnaire
- Nominate an individual Point of Contact
- Provide a brief description of the learning resources
- Provide an attestation confirming alignment with ICA's CPD policy



# ICA Approved Status

Demonstrate best practice in learning design

## What Is ICA Approved Status?

ICA undertakes a structured review of the process that has been followed in the development and design of a specific training module in the areas of financial crime and regulatory compliance.

On successful application of the ICA Approved Status, the relevant materials will be able to display the ICA Approved Status logo and the following text:

The status of ICA Approved demonstrates that the organisation has used best practices in developing and designing financial crime and regulatory compliance training. The ICA Approved status is awarded to a specific training module or piece of learning that has been determined as having used a robust approach to identify the training need, develop the training and assess appropriately.

## What Are The Benefits?

- Validate and demonstrate, to internal and external stakeholders, that a robust approach has been used to identify the training need, develop the training and assess appropriately
- Utilises ICA's expertise in developing training across its core disciplines: Governance, Risk and Compliance, Anti Money Laundering, Financial Crime Prevention, Managing Sanctions Risk and Customer Due Diligence
- Suitable for organisations that have designed their own training based upon their own policies and procedures

## How Does It Work?

Structured review of the approach taken when identifying the need, the design and the measurement of impact of training, using both a client questionnaire, and interview.



# ICA Certified Status

Your training; reviewed and assessed by the ICA as it if were its own

## What Is ICA Certified Status?

ICA will carry out a detailed review of training materials and associated assessments for technical accuracy, relevance of the learning outcomes and the robustness of the assessments.

Upon successful completion of the ICA Certified review process, the ICA Certified Logo is able to be used on the training materials and certificates, alongside the following text:

ICA Certified demonstrates that a robust process has been followed to independently review the training material. The review takes into consideration the relevance and accuracy of the content and the appropriateness of the learning outcomes. The status also demonstrates that the training is accompanied by a robust assessment.

## What Are The Benefits?

- Leverage ICA's expertise and experience in developing accredited training on regulatory compliance and financial crime prevention to review a client's internally developed training material
- An independent review of training materials by a subject matter expert for technical content accuracy
- A report is produced detailing findings from the review, including any remedial work required to address deficiencies or recommended enhancements

## How Does It Work?

- ICA are provided with the learning material to ensure suitability for the certified process, alongside details on the target audience, learning outcomes, assumed prior learning and assessment approach
- The subject matter expert (SME) carries out a review
- The client is provided with the ICA Certified report detailing the outcome of the award
- Based on no remedial actions required, we can award the ICA Certified status



# Apprenticeships: End Point Assessment

Cost effective industry led assessment for apprentices

## Why Choose ICA?

- Improved progression and success rates
- Deepen your network of expert assessors to support increased completion rates
- Close support of apprentices to support timely completion

## Training Provider Benefits

- ESFA approved and Ofqual regulated
- A track record of high volume assessment delivery
- Strong team of experienced subject matter assessors
- Dedicated, experienced and flexible support team
- Single EPA platform for assessors, apprentices and training providers

## Apprentice Benefits

- Simple scheduling and gateway process
- Apprentices are invited to celebrate their success at one of our graduation ceremonies
- Use of post-nominals  
e.g. Senior Compliance Risk Specialists = ICA QA(SCRS)
- Rapid turnaround of results
- Free assessment preparation webinars delivered for apprentices, training providers and employers.

Standard	Level
Compliance Risk Officer	3
Public Sector Compliance Investigation Officer	3
Regulatory Compliance Officer	4
Counter Fraud Investigator	4
Senior Compliance/Risk Specialist	6
Credit Controller/Collector*	2
Advanced Credit Controller/Collection Specialist*	3
Financial Services Administrator*	3
Financial Services Professional*	6
Data Protection and Information Governance Practitioner*	4
Governance Officer‡	4
Trading standards professional‡	6
Accounting finance manager‡	6

\*Pending approval

‡Pending Standard publication



The background is a solid blue color with a complex pattern of overlapping circles and thin, intersecting lines in various shades of blue and white, creating a network-like or molecular structure.

# ICA MEMBERSHIP

Recognition and Connection

# ICA Corporate Membership

Networking and continuous professional development

Develop and recognise your financial crime and compliance teams with affordable and accessible continuous learning from ICA. No need for expensive and complicated integration with our web-based learning hub.

## What is Corporate Membership?

- Give your team the tools to develop a 'habit of learning'
- Regularly deepen their understanding of emerging and topical issues
- Track and evidence your organisation's learning activity to report to stakeholders and regulators.

## Learn

- Encourage curiosity with access to over 300 hours of in-depth and bite-sized learning, regularly updated and available on-demand to answer day-to-day questions
- Personalised content selection making learning highly relevant and engaging, focusing on what's new and trending in the industry
- Access to a compliance competency self-assessment tool which identifies strengths and gaps, helping to shape individual development plans.

## Connect

- Your team stays connected to the profession through weekly webinars on topical issues delivered by global experts
- Preferential access to ICA in-person, live-stream conferences and the annual compliance awards.

## Recognise

- Certify your team's commitment to self-development with ICA's Certified Practitioner scheme
- Members receive digital credentials to demonstrate their association with an international professional body.

